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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

ATTY. DOCKET NO. 029318/0497

Jon SWANSON et al.

Group Art Unit: 1615

Serial No. 09/337,675

Examiner: Unassigned

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Filed: June 22, 1999

CONTROLLED RELEASE

NANOPARTICULATE COMPOSITIONS

NOV 1 & 177

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

For:

Submitted herewith on Form PTO-1449 is a listing of documents known to comply with Applicants' duty of disclosure pursuant to 37 C.F.R. §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 C.F.R. §1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a <u>prima facie</u> prior art reference against the claims of the present application.

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CONCISE EXPLANATION OF RELEVANCE OF EACH DOCUMENT

The relevance of the documents is described in the present specification. An

English translation of document A13 is not readily available. However, an abstract of the

European document is submitted herewith. Moreover, the absence of such a translation

does not relieve the PTO from its duty to consider the submitted document (37 C.F.R.

§1.98 and MPEP §609).

The listed documents are being submitted in compliance with 37 C.F.R. §1.97(b),

before the mailing of the first Office Action on the merits

Applicants respectfully request that the listed documents be considered by the

Examiner, be formally made of record in the present application, and that an initialed copy

of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

November 16, 1999

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